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| FORM PTO-1449<br>U.S. Department of Commerce Patent and<br>Trademark Office |  | Docket No. (Optional)<br>07265/098002 (JHU1400-1) | Application No.:<br>09/163,289 |
|   |  | Applicant(s):<br>Harry C. Dietz                   |                                |
| INFORMATION DISCLOSURE STATEMENT<br>BY APPLICANT                            |  | Filing Date:<br>September 29, 1999                | Group Art Unit:<br>1635        |

### U.S. PATENT DOCUMENTS

| EXAM.<br>INITIALS |    | DOCUMENT<br>NUMBER | DATE     | NAME                   | CLASS | SUB-<br>CLASS | FILING<br>DATE |
|-------------------|----|--------------------|----------|------------------------|-------|---------------|----------------|
| MMS               | AA | 5,624,803          | 04/29/97 | Noonberg <i>et al.</i> | 435   | 6             | 10/13/94       |
| MMS               | AB | 5,500,357          | 03/19/96 | Taira <i>et al.</i>    | 435   | 91.31         | 05/03/93       |

### FOREIGN PATENT DOCUMENTS

| EXAM.<br>INITIALS |  | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUB-<br>CLASS | TRANSLATION<br>(YES/NO) |
|-------------------|--|--------------------|------|---------|-------|---------------|-------------------------|
|                   |  |                    |      |         |       |               |                         |

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

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| MMS | AC | Baserga and Steitz, "The Diverse World of Small Ribonucleoproteins," <i>The RNA World</i> , 359-381, Cold Spring Harbor Lab. Press (1993)  |
| MMS | AD | De Young <i>et al.</i> , "Functional Characterization of Ribozymes Expressed Using U1 and T7 Vectors for the Intracellular Cleavage of ANF mRNA," <i>Biochemistry</i> , 33:12127-12138, (1994) |

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| EXAMINER<br> | DATE CONSIDERED<br>8/28/00 |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.